
No.17 Reporting by Surveyors of Deficiencies relating to Possible Safety Management System Failures

(Rev.0
July 2009)

INTRODUCTION

The purpose of this Procedural Requirement is to ensure that the Organisation responsible for the issue of a SMC is notified when deficiencies relating to possible safety management system failures are identified by a surveyor.

1. SCOPE AND APPLICATION

1.1 This document describes the procedure for reporting by surveyors of deficiencies relating to possible safety management system failures and the subsequent action to be taken.

1.2 This procedure applies to all cases of Classification Societies' involvement in classing the ship and/or in issuing the SMC.

2. DEFINITIONS

2.1 "International Safety Management (ISM) Code" means the International Management Code for the Safe Operation of Ships and for Pollution Prevention.

2.2 "Document of Compliance" (DOC) means a document issued to a Company that complies with the requirements of the ISM Code.

2.3 "Safety Management Certificate" (SMC) means a document issued to a ship which signifies that the company and its shipboard management operate in accordance with the approved safety management system.

2.4 "Safety Management System" (SMS) means a structured and documented system enabling Company personnel to implement effectively the company's safety and environmental protection policy.

2.5 "Deficiency" means a defect in, or a failure in the operation of, a part of the ship's structure or its machinery, equipment, fittings, or a failure in the documentation.

2.6 "Report" means the documentation completed by the surveyor containing as a minimum the information shown in Annex 1. The report may be in any format decided by the Classification Society.

Note: This Procedural Requirement applies from 1 July 2009.

No.17

(cont)

3. WHEN TO COMPLETE THE REPORT

3.1 When deficiencies relating to possible safety management system failures are identified by the Surveyor during a periodical (Annual/Intermediate/Special) Class Survey or occasional Class Survey, Statutory Surveys, additional surveys relevant to Port State Control, Flag State Inspections or any other occasion, a Report is to be completed by the Surveyor.

3.2 The Society shall internally document implementation of PR17, regardless if deficiencies have been found (for example "Deficiencies reported under PR17: Yes/No").

4. WHAT TO REPORT

4.1 The following shall be reported by the surveyor.

- i) deficiencies relating to technical conditions which may lead to the limitation, suspension or withdrawal of a Class or Statutory Certificate;
- ii) deficiencies relating to documentation;
- iii) deficiencies relating to operational requirements;
- iv) other deficiencies which may seriously affect the safety of ship, personnel or the environment.

4.2 The surveyor must inform the master or Company representative that this information may be communicated to the Organisation responsible for the issue of the SMC.

5. REPORTING AND FOLLOW UP

5.1 The report shall be submitted to the responsible department within the surveyor's society for review. The responsible department should finally judge whether the reported deficiencies are possibly related to SMS failures.

5.2 When the responsible department within the surveyor's society judges that the reported deficiencies are not related to possible SMS failures the report is to be filed.

5.3 When the responsible department within the surveyor's society judges that the reported deficiencies are related to possible SMS failures the report shall be sent to either:

- a. the Classification Society that has issued the SMC, if the contact details can be found on the IACS website:
www.iacs.org.uk, located under:
 - PR17 Contact Details, or
- b. the flag Administration, if the SMC is issued by the flag Administration.

5.4 The Classification Society that has issued the SMC shall review the report, and decide on what action, if any, should be taken.

No.17

(cont)

Annex 1**Minimum contents of the Report on deficiencies related to possible Safety Management System failures**

The report shall bear the title "Safety Management System failure according to IACS PR 17"

1. Identification of ship
 - IMO number
 - Ship's name
 - Flag
2. Identification of Survey / Attendance
3. Identification of SMC
 - Issuer of the SMC
 - Issuer of the DOC
4. Category of Deficiency
 - Technical
 - Operational
 - Documentation
 - Other
5. Details of deficiency

No.17

(cont)

Annex 2

Guidance for what to report

Category of deficiency	Examples
Technical deficiencies	<ul style="list-style-type: none"> • Combination of deficiencies, that together indicates that the maintenance system is not effectively implemented. • Poor condition of hull, main deck, closing appliances, railings, ladders • Defective / missing fire fighting and life saving appliances, oil pollution prevention equipment. • Leaks and oil in E/R spaces, pump-rooms etc. <p>Deficiencies should not be reported, when:</p> <ul style="list-style-type: none"> • they are considered normal wear and tear for the ship type and age and • there is evidence that deficiencies are being dealt with adequately by the Company including the personnel working on board.
Documentation deficiencies	<ul style="list-style-type: none"> • Expired classification or statutory certificates or certificates not endorsed as required. • Overdue surveys, overdue audits or overdue conditions of class. • Ship's copy of Document of Compliance (DOC) not valid or not relevant to ship type. • Original Safety Management Certificate (SMC) has incorrect data or endorsements missing. • Emergency response plans and relevant SOPEP manual not available (contact points ashore should be current and corresponding with Company name / address on DOC and SMC). • Entries for relevant drills etc. in Log Book not completed in accordance with mandatory requirements. • Incorrect or missing entries in the Oil Record Book. • Fire Plan not up to date
Operational deficiencies	<ul style="list-style-type: none"> • Accidents and hazardous occurrences not reported to the Company. • Crew not able to satisfactorily conduct practical demonstrations of shipboard operations, such as starting the fire pump, emergency generator, lifeboat engine, etc. • Crew not able to communicate effectively in the execution of their duties. • Inability of crew to perform satisfactorily mandatory drills such as fire drills, LSA drills, pollution prevention drills, etc.
Other deficiencies	<ul style="list-style-type: none"> • Ship's complement not complying with the Minimum Safe Manning Certificate. • Master, officers and ratings not certified as required by the STCW Convention. • Serious deficiencies in respect to housekeeping and maintenance of galley, crew accommodation, and provisions stores. • Port state or flag state detentions

End of Document
